



**Integrated Single Electricity Market
(I-SEM)**

**Capacity Remuneration Mechanism
Exception Application and
Opt-out Notification Process
For the T-4 2022/23 Capacity Auction**

Briefing Note Update

SEM-18-041

22 August 2018

1. EXCEPTION APPLICATION & OPT-OUT PROCESS

SEM-18-024¹ includes detail in relation to the requirement for market participants to apply for Regulatory Authority (RA) approval for certain exception applications (section E.5 of the CMC) and opt-out notification determination (section E.3 of the CMC).

This document makes reference SEM-18-024 and offers interested participants and Stakeholders an updated set of timelines relating to those key processes, in readiness for the T-4 2022/23 Auction

1.1 Process Timeline

- 1.1.1 The expected timelines for the exception application and Opt-out processes for this CRM T-4 auction for Capacity Year 2022/23 are set out below in Tables 1 and 2 respectively.
- 1.1.2 The tables set out the key dates and deadlines for communications between the applicant and the RAs. As set out in section E.5 in the CMC, there is a requirement on applicants to provide information requested by the RAs within the specified timeframe, otherwise the participant is deemed to have withdrawn the exception application.
- 1.1.3 These timelines outline two windows during which the RAs will offer the applicant a meeting, these will be scheduled with pre-set topics agreed in advance and reflected in an agenda.

¹ See <https://www.semcommittee.com/publication/crm-exception-application-and-opt-out-notification-process-t-1-201920-capacity-auction> for details

Table 1: Exception Application process timeline for T-4 Auction 2022/23

Date (2018-19)	Description
28 September	Initial Auction Information Pack published
28 September – 25 October	Qualification & Exception Application window
26 October	RAs notify System Operators giving details of Exception Applications received.
25 October – 30 November	RAs initial assessment/screening of applications
30 November	RAs send follow up questions to exception application participants
03 – 07 December	RAs offer meeting with exception application participant, with agreed pre-set topics reflected in agenda
17 December	Deadline by which exception application participant(s) respond to RA questions
17 Dec 2018 – 07 Jan 2019	If required, RAs send follow up questions to exception application participant(s), seeking response within 3 working days. Alternatively RAs may request call or meeting.
11 January	RAs issue draft exception application decision(s) to participant(s)
11 January	RAs issue draft exception application decision(s) to System Operators
14 - 18 January	RAs offer further meeting with exception application participant, with agreed pre-set topics reflected in agenda
23 January	Deadline by which exception application participant(s) can provide feedback to draft decision.
28 February 2019	RAs submit final exception application decision (s) to System Operators (ahead of deemed approval deadline in CMC)
7 March	Final qualification results announced
7 March	Final Auction Information Pack published
28 March 2019	T-4 2022/23 Auction date

Table 2: Opt-Out notification RA determination process timeline for T-4 Auction 2022/23

Date (2018-19)	Description
13 September 2018	Deadline for applying for RA opt-out determination according to the CMC.
14 September	RAs notify System Operators giving details of opt-out notification submission(s) received
13 – 19 September	RAs initial assessment/screening of applications
19 September	If required RAs send follow up questions/request for information to opt-out notification applicant(s). Alternatively RAs may request call or meeting.
25 September	Deadline by which opt-out notification applicant(s) respond to RA questions/information requests
27 September	RAs send follow up questions if required to opt-out notification applicant(s), seeking response within 3 working days. Alternatively RAs may request call or meeting.
28 September	Initial Auction Information Pack published
2 October	Deadline by which opt-out notification applicant(s) respond to RA additional follow up questions.
10 October	Date by which RAs notify applicant(s) of determination on opt-out notification
10 October	Date by which RAs notify System Operators of determination on opt-out notification submission(s)
11 October	Opt-out notification deadline to System Operators
4 January 2019	Provisional Qualification Results announced
7 March	Final Auction Information Pack published
28 March 2019	T-4 2022/23 Auction date